



Baker IDI Research Online

<http://library.bakeridi.edu.au>

This is the postprint version of the work. It is the manuscript that was accepted by the journal following peer review. It does not include the publisher's layout and pagination.

Hajifathalian K, Ueda P, Lu Y, Woodward M, Ahmadvand A, Aguilar-Salinas CA, Azizi F, Cifkova R, Di Cesare M, Eriksen L, Farzadfar F, Ikeda N, Khalili D, Khang YH, Lanska V, León-Muñoz L, Magliano D, Msyamboza KP, Oh K, Rodríguez-Artalejo F, Rojas-Martinez R, Shaw JE, Stevens GA, Tolstrup J, Zhou B, Salomon JA, Ezzati M, Danaei G. A novel risk score to predict cardiovascular disease risk in national populations (Globorisk): a pooled analysis of prospective cohorts and health examination surveys. *Lancet Diabetes Endocrinol* 2015;3(5):339-55.

<http://hdl.handle.net/11187/2298>

1 **Globorisk: A novel risk score for predicting cardiovascular disease risk in national**
2 **populations: a pooled analysis of prospective cohorts and health examination surveys**

3
4 Kaveh Hajifathalian (MD)^{1,2†}, Peter Ueda (PhD)^{1†}, Yuan Lu (MSc)¹, Mark Woodward (PhD)³⁻⁵
5 Alireza Ahmadvand (MD)^{6,7,8*}, Carlos A Aguilar-Salinas (PhD)^{9*}, Fereidoun Azizi (MD)^{10*},
6 Renata Cifkova (MD)^{11*}, Mariachiara Di Cesare (PhD)^{6,7*}, Louise Eriksen (MSc)^{12*}, Farshad
7 Farzadfar (MD)^{8*}, Nayu Ikeda (PhD)^{13*}, Davood Khalili (PhD)^{10*}, Young-Ho Khang (MD)^{14*},
8 Vera Lanska (PhD)^{15*}, Luz León-Muñoz (PhD)^{16*}, Dianna Magliano (PhD)^{17*}, Kelias P
9 Msyamboza (PhD)^{18*}, Kyungwon Oh (PhD)^{19*}, Fernando Rodríguez-Artalejo (MD)^{16*}, Rosalba
10 Rojas-Martinez (PhD)^{20*}, Jonathan E Shaw (MD)^{17*}, Gretchen A Stevens (DSc)^{21*‡}, Janne
11 Tolstrup (PhD)^{11*}, Bin Zhou (MSc)^{6,7*}, Joshua A Salomon (PhD)¹, Majid Ezzati (FMedSci)^{6,7},
12 Goodarz Danaei (ScD)^{1,22}

13
14 † Co-first authors

15 * These authors have made equal contributions and are listed alphabetically.

16 ‡ The author is a staff member of the World Health Organization. The author alone is responsible
17 for the views expressed in this publication and they do not necessarily represent the decisions,
18 policy, or views of the World Health Organization.

19
20 1. Department of Global Health and Population, Harvard School of Public Health, Boston, MA,
21 USA

22 2. Department of Internal Medicine, Cleveland Clinic, Cleveland, OH, USA

23 3. The George Institute for Global Health, Nuffield Department of Population Health, University
24 of Oxford, Oxford, UK

25 4. The George Institute for Global Health, University of Sydney, Sydney, Australia

26 5. Department of Epidemiology, Johns Hopkins University, Baltimore, MD, USA

27 6. MRC-PHE Centre for Environment and Health, Imperial College London, London, UK

28 7. Department of Epidemiology and Biostatistics, School of Public Health, Imperial College
29 London, London, UK

30 8. Non-Communicable Diseases Research Center, Tehran University of Medical Sciences,
31 Tehran, Iran

32 9. Department of Endocrinology and Metabolism, Instituto Nacional de Ciencias Médicas y
33 Nutrición, “Salvador Zubirán”, Mexico City, Mexico

34 10. Research Institute for Endocrine Sciences, Shahid Beheshti University of Medical Sciences,
35 Tehran, Iran

36 11. Department of Preventive Cardiology, Thomayer Teaching Hospital, Prague, Czech Republic

37 12. National Institute of Public Health, University of Southern Denmark, Copenhagen, Denmark

38 13. Center for International Collaboration and Partnership, National Institute of Health and
39 Nutrition, Tokyo, Japan

40 14. Institute of Health Policy and Management, Seoul National University College of Medicine,
41 Seoul, South Korea

42 15. Statistical Unit, Institute for Clinical and Experimental Medicine, Prague, Czech Republic

43 16. Department of Preventive Medicine and Public Health, School of Medicine, Universidad
44 Autónoma de Madrid/Idipaz, and CIBER of Epidemiology and Public Health, Madrid, Spain

45 17. Baker IDI Heart and Diabetes Institute, Melbourne, Australia

- 46 18. World Health Organization, Malawi Country Office, Lilongwe, Malawi
47 19. Division of Health and Nutrition Survey, Korea Centers for Disease Control and Prevention,
48 Cheongwon-gun, South Korea
49 20. Centro de Investigación en Salud Poblacional, Instituto Nacional de Salud Publica, Mexico
50 21. Department of Health Statistics and Information Systems, WHO, Geneva, Switzerland
51 22. Department of Epidemiology, Harvard School of Public Health, Boston, MA, USA
52

53 **Summary**

54

55 **Background:** Treatment of cardiovascular risk factors based on disease risk requires valid risk
56 prediction equations. Our aim was to develop, and to apply in example countries, a
57 cardiovascular disease (CVD consisting here of coronary heart disease or stroke) risk prediction
58 equation that can be recalibrated and updated for application in different countries using
59 routinely available information.

60

61 **Methods:** We used data from 8 cohort studies to estimate coefficients of the risk score using
62 proportional hazards regressions. The risk equation included smoking, blood pressure, diabetes,
63 and total cholesterol and allowed the effects of sex and age on CVD to vary across cohorts or
64 countries. We developed risk equations for fatal CVD and for fatal-plus-non-fatal CVD. We then
65 used the risk prediction equation, and data from recent national health surveys, to generate risk
66 charts and estimate the proportion of population at different levels of CVD risk in 11 countries
67 from different regions.

68

69 **Findings:** The risk score discriminated well in internal and external validations with C statistics
70 generally $\geq 70\%$. At any age and risk factor level, the estimated 10-year fatal CVD risk varied
71 substantially across countries, being lowest in South Korea, Spain, Japan and Denmark, and
72 highest in Czech Republic, China, Iran and Mexico. The prevalence of people at high fatal CVD
73 risk was lowest in South Korea, Spain and Denmark where only 5-10% of men and women had
74 $>10\%$ risk, and 62-77% of men and 79-82% of women had $<3\%$ risk. Conversely, the proportion
75 of people at high risk was largest in China and Mexico: 33% of Chinese men and 28% of women

76 had a 10-year fatal CVD risk of $\geq 10\%$. In Mexico the prevalence of high-risk was 16% for men
77 and 11% for women.

78

79 **Interpretation:** We developed a CVD risk model that can be recalibrated for application in
80 different countries using routinely available information. The estimated percentage of people at
81 high fatal CVD risk was higher in low- and middle-income countries than in high-income
82 countries.

83

84

85 Funding: US National Institutes of Health (NIDDK: 1R01-DK090435), UK Medical Research
86 Council, Wellcome Trust

87 **Introduction**

88 It is now widely accepted that treatment for cardiometabolic risk factors like blood pressure and
89 cholesterol should be based on disease risk, as opposed to the levels of individual risk factors.¹⁻³
90 Risk-based treatment is included in clinical guidelines in many countries,^{4, 5} although debate
91 continues on the appropriate threshold for treatment. Risk-based multidrug treatment and
92 counselling has also been evaluated as a cost-effective intervention for reducing the burden of
93 non-communicable diseases (NCDs) globally.⁶ As a part of the global response to NCDs,
94 countries have agreed to a target of 50% coverage of multidrug treatment and counselling for
95 people aged 40 years and older who are at high risk of cardiovascular disease (CVD), including
96 coronary heart disease (CHD) and stroke.⁷

97

98 Risk-based treatment requires predicting CVD risk for each individual, which is most accurately
99 done using risk prediction equations (often via risk charts or web-based risk calculators).^{3, 8, 9}

100 Measuring progress towards the global NCD treatment target needs information on how many
101 people in each country who are at high risk of CVD, which also requires an appropriate risk
102 prediction equation as well as nationally representative data on risk factors. Risk prediction
103 equations developed in one population cannot be satisfactorily applied to other populations, or
104 even used in the same country years after they were originally developed due to changes in both
105 risk factor levels and average disease risks.^{10, 11} This problem is dealt with by recalibrating the
106 model, which involves resetting the average risk factor and disease risks to the levels observed in
107 the target population. For example, the Framingham risk score has been updated several times
108 and recalibrated for application in different countries with mixed results.¹²⁻¹⁶ The SCORE
109 (Systematic Coronary Risk Evaluation) risk score, whose coefficients were estimated from

110 European cohorts⁹, has also been recalibrated and applied to various European populations with
111 variable results.^{11, 17, 18} The World Health Organization (WHO) developed a series of regional
112 CVD risk charts in 2007.³ However, the coefficients of the risk prediction equation used to
113 develop these charts were taken from epidemiological studies on one risk factor at a time, i.e.,
114 the coefficients for different risk factors were not derived from the same regression model or
115 even from a consistent set of cohorts.¹⁹ Further, the risk charts were only produced at the
116 regional and not country level even though the determinants of CVD and mortality vary across
117 countries in the same region.

118

119 In this paper, we develop and present a risk prediction equation that can be recalibrated and
120 updated for use in different countries using routinely available information. We estimate the
121 coefficients of the risk prediction equation from pooled analysis of prospective cohort studies.
122 We then recalibrate and apply the risk equation to nationally representative data on risk factors in
123 countries from different regions to generate risk charts and estimate the distributions of 10-year
124 fatal CVD risk.

125

126 **Methods**

127 *Overview*

128 A risk prediction equation (or risk score) estimates a person's risk of CVD over a specific period
129 (e.g., 10 years) based on the person's levels of risk factors and the average CVD risk in the
130 population. The risk score has a set of coefficients, usually hazard ratios (HRs), each of which
131 quantify the proportional effect of the risk factor on CVD risk. There is strong evidence from
132 cohort pooling and multi-country studies that HRs for major CVD risk factors are similar in at

133 least Western and Asian populations as well as over time in the same population,²⁰⁻²² although
134 more data are needed from Africa and Latin America. Average CVD risk, on the other hand,
135 differs substantially across populations and over time due to differences in both mean risk factor
136 levels and other determinants of CVD including access to and quality of healthcare, and
137 environmental, genetic, psychosocial and foetal and early childhood factors. In other words, the
138 same levels of risk factors are associated with different CVD risks in populations with low vs.
139 high event rates. Therefore, when applying a risk score to a new population, the two components
140 of the risk score (i.e., the average risk factor levels and the average CVD risk) need to be
141 replaced by the corresponding numbers from the target population, a process referred to as
142 recalibration.⁸ Below, we describe a risk prediction equation that can be recalibrated and updated
143 for use in different countries. In addition, our risk prediction equation allows the age-pattern of
144 CVD risk to vary by sex and across populations and further allows the proportional effect of risk
145 factors to vary by age; neither of these features was included in previous risk scores.

146

147 *Data*

148 To estimate the coefficients of the risk prediction equation, we pooled individual-level data from
149 8 prospective cohorts (Atherosclerosis Risk in Communities, Cardiovascular Health Study,
150 Framingham Heart Study original cohort, Framingham Heart Study offspring cohort, Honolulu
151 Heart Program, Multiple Risk Factor Intervention Trial, Puerto Rico Heart Health Program, and
152 Women's Health Initiative Clinical Trial). Information on these cohorts including additional
153 references is summarized on Appendix page 5. We pooled data from multiple cohorts because it
154 enhances statistical power which in turn allows including interaction terms between age or sex
155 and risk factors, and because pooling reduces the influence of between-cohort variation on

156 coefficients. We included participants who at baseline were 40 years of age or older (as there
157 were few events in younger participants); did not have a history of CHD or stroke; were not
158 missing data on the selected risk factors; and did not have biologically implausible risk factor
159 levels as defined in Figure 1.

160
161 In our primary analysis, we developed a risk score for fatal CVD only. Although both fatal and
162 non-fatal CVD are important for clinical and public health applications, national data on average
163 death rates are much more reliable than those on disease incidence, even in high-income
164 countries. Therefore a risk score based on mortality can be more easily recalibrated than one that
165 includes both fatal and non-fatal CVD, an approach also used for SCORE.⁹ We also present risk
166 scores for fatal plus non-fatal CVD for application in countries with high-quality data on total
167 CVD incidence. We used event data as defined by each cohort's event adjudication committee.
168 Fatal CVD was defined as death from ischaemic heart disease or sudden cardiac death (ICD10
169 codes I20 to I25) or death from stroke (ICD10 codes I60 to I69); fatal plus non-fatal CVD was
170 defined as fatal CVD or non-fatal myocardial infarction (ICD10 codes I21-I22) or stroke.

171
172 *Statistical Analysis*

173 We used Cox proportional hazards regression to estimate the coefficients of the risk scores. The
174 models were stratified by cohort and sex because the age and sex patterns of CVD incidence and
175 mortality may differ across populations, e.g., with events generally happening at younger ages in
176 low- and middle-income countries than in high-income nations.²³ Importantly, this formulation
177 of the risk prediction equation, described in detail in the Appendix, also allows recalibration of
178 the risk equation for each age and sex group in any country by using age-and-sex-specific mean

179 risk factor levels and age-and-sex-specific CVD death rates. Some of the cohorts have a very
180 long follow-up time (e.g., > 50 years in the original Framingham cohort); after such a long time,
181 baseline risk factor data may have little value in predicting CVD events. Therefore, we used data
182 from a maximum follow-up of 15 years, after which we considered all participants
183 administratively censored.

184
185 The risk factors in the model were systolic blood pressure, serum total cholesterol (TC), diabetes,
186 and smoking (age and sex were included in the risk score as a part of event rate as described
187 above and in the Appendix). We defined diabetes using fasting (FPG), casual (CPG), or
188 postprandial plasma glucose (PPG), depending on the available data in each cohort (FPG \geq 126,
189 CPG \geq 200, or 1-hour PPG \geq 225 mg/dL²⁴ plus those participants using insulin or oral
190 hypoglycaemic medications). We used TC because it can be measured more easily and with less
191 cost than HDL or LDL cholesterol, and is therefore measured more commonly in low- and
192 middle-income countries.²⁵ We considered the use of body mass index (BMI) in addition to the
193 above risk factors but did not include it in the final model as it did not improve risk prediction.
194 We allowed the coefficients of all risk factors to vary by age by including interaction terms
195 because prospective studies have shown that CVD hazard ratios often decline with age.^{20, 26, 27}
196 We also included interaction terms between sex and diabetes as well as sex and smoking because
197 there is evidence of sex differences in the proportional effects of these risk factors on CVD.^{28, 29}

198

199 *Validation of the risk score*

200 In our validation, we used Harrell's C statistic,³⁰ which measures the ability of the risk score to
201 assign a higher risk to subjects with shorter time to event, a property known as discrimination.

202 We also assessed the calibration of the risk score using the Hosmer-Lemeshow Chi-square test to
203 compare the predicted number of events over 10 years of follow-up with the observed number of
204 events (corrected for loss to follow-up using the Kaplan-Meier estimator) by deciles of risk. We
205 validated the model in three different ways. First, we conducted internal validation in the pooled
206 cohorts used for estimating the risk factor coefficient. Second, we iteratively withheld each one
207 of the eight cohorts from the Cox model and used the other seven cohorts to estimate the
208 coefficients; we then validated the obtained model against the withheld cohort. Finally, we
209 validated the model against three cohorts that had not been used in the estimation: the Scottish
210 Heart Health Extended Cohort (SHHEC), the Tehran Lipid and Glucose Study (TLGS), and the
211 Australian Diabetes, Obesity and Lifestyle (AusDiab) study. Characteristics of these three
212 cohorts are shown on Appendix page 7. The validation analysis was done for participants who
213 were 40-80 years old at baseline.

214

215 *Application of the risk score in national populations*

216 We used the risk score to estimate the 10-year risk of fatal CVD in eleven countries with recent
217 nationally representative health examination surveys in different world regions (China, Czech
218 Republic, Denmark, England, Iran, Japan, Malawi, Mexico, South Korea, Spain, and the USA)
219 (Appendix page 6). We first recalibrated the risk score for each country by replacing the age-
220 and-sex-specific average risk factor levels from the cohorts with those observed in the country's
221 health examination survey, and replacing the age-sex-specific hazard of CVD with CVD death
222 rates from WHO (Appendix page 8)³¹. The detailed recalibration procedure for country
223 application is described in the Appendix, and shown in Figure 2.

224

225 We used the recalibrated risk scores to generate country-specific risk charts for the eight
226 countries where the health examination surveys covered the full 40-84-years age range. We used
227 the recalibrated risk scores and individual-level data from the health examination surveys to
228 estimate the distributions of 10-year risk of fatal CVD in each country. For presentation
229 purposes, we used risk groups of <3%, 3-6%, 7-9%, 10-14% and $\geq 15\%$ that are nearly equivalent
230 to <10%, 10-20%, 20-30%, 30-45% and $\geq 45\%$ for fatal-plus-non-fatal CVD if one third of CVD
231 events are fatal, as is in many high-income countries.³²⁻³⁴ If case-fatality is higher, e.g., in low-
232 income countries with limited access to treatment, the fatal CVD cut-offs correspond to lower
233 risks of fatal-plus-non-fatal CVD. Country results account for complex survey design by using
234 sample weights if they were available.

235

236 All analyses were done with Stata 11.0. The study protocol was approved by the institutional
237 review board at the Harvard School of Public Health (Boston, USA). The risk prediction tool
238 will be available online at www.globorisk.org in 2015.

239

240 *Role of the funding source*

241 The sponsors of the study had no role in study design, data collection, data analysis,
242 interpretation of the data, or in the writing of the report. KH, PU, MDC, BZ and GD had access
243 to the data. The corresponding author had the final responsibility to submit for publication.

244

245 **Results**

246 After applying eligibility criteria, 50,129 participants were included in the estimation of the
247 proportional hazards models (Figure 1). One-third of eligible participants were women, and the

248 mean (SD) of age at baseline was 55 (9) years (Figure 1 and Appendix page 5). During 15 years
249 of follow-up, 4,228 men (12.7% of participants) and 1,814 women (10.8%) had a first CVD
250 event; of these 1,703 CVD events in men and 562 in women were fatal. Women had a lower risk
251 of fatal CVD (10-year risk was 2.3% in women vs. 3.9% in men, $p<0.0001$). Smoking, diabetes,
252 and higher blood pressure and cholesterol were associated with increased risk of fatal CVD as
253 well as fatal plus non-fatal CVD. The magnitude of the association for all risk factors declined
254 with age in both models (Table 1), and the associations of diabetes and smoking with CVD were
255 stronger in women. The age and sex results are consistent with findings of previous pooled
256 analyses of prospective cohorts.^{20, 26-29}

257

258 *Validation of the risk score*

259 Our fatal CVD risk score performed well in internal validation, with a C statistic of 71% (95%
260 CI 70-73) and calibration Chi-square of 8.2 ($p=0.51$). Median C statistics was 73.5% with a
261 range of 60-78% when data from different cohorts were held back and used for validation.
262 Predicted and observed risks of fatal CVD were similar across different cohorts and deciles of
263 risk (Figure 3), and the median Chi-square was 10.0 ($p=0.35$) with a range of 6.1 ($p=0.73$) to
264 24.7 ($p=0.0034$) across the eight cohorts (Appendix page 7). When applied in SHHEC, C
265 statistics for the fatal CVD risk score was 74% (71-77) and calibration Chi-square 8.2 ($p=0.52$).
266 The corresponding values for TLGS were 83% (79-86) and 9.0 ($p=0.44$); and for AusDiab, 84%
267 (82-87) and 35.4 (0.0001). The poor calibration of AusDiab occurs predominantly in the highest
268 decile of predicted risk (average observed risk of 13.1% and average predicted risk of 22.4%).
269 Chi-square was 12.8 ($p=0.12$) when we excluded this category.

270

271 *Application of the risk score in national populations*

272 At any age and risk factor level, the estimated 10-year risk of fatal CVD varied substantially
273 across countries being lowest in Japan, South Korea, Spain, Denmark and England, and highest
274 in China and Mexico for both sexes (as well as in Czech Republic and Iran for which risk charts
275 are not shown because their surveys only included participants aged ≤ 65 years) (Figure 4). For
276 example, a non-smoking 65 year-old man with diabetes and a SBP of 140 mmHg and a TC of 6
277 mmol/L would have an estimated 10-year risk of fatal CVD of 5% in Japan vs. 24% in China;
278 the corresponding risks would be 9% and 36% if he smoked. Similarly, the 10-year risk of fatal
279 CVD for a 65 year-old woman with the same risk factor profile would be 3% in Japan and Spain
280 versus 33% in China if she does not smoke; and 6% versus 58% if she smoked.

281
282 We found substantial differences in fatal CVD risk distributions across countries (Figure 5). In
283 South Korea, 77% of men aged 40-84 years had a predicted 10-year risk of fatal CVD of $<3\%$,
284 and only 7% had a predicted risk of $\geq 10\%$. South Korea was followed by Spain (67% with $<3\%$
285 fatal CVD risk, and 9% with $\geq 10\%$ risk) and Denmark (62% and 10%) in terms of having a large
286 proportion of men in low-risk groups. The same three countries also had the largest proportions
287 of women in the low-risk group: South Korea had 82% with $<3\%$ fatal CVD risk and 7% with
288 $\geq 10\%$ risk; Spain had 80% and 6%; and Denmark had 79% and 5%. More people in Japan were
289 in the medium-high risk groups than in these three countries, because Japan has an older
290 population. A larger proportion of men and women in the USA were at $\geq 10\%$ risk of fatal CVD
291 than those of Denmark, South Korea, and Spain; in fact, the prevalence of high-risk women in
292 the USA (11%) and England (10%) were more similar to Mexico (11%) than to other high-
293 income countries. At the other extreme, the proportion of people at high risk of fatal CVD was

294 largest in China, where 33% of men and 28% of women had a predicted 10-year risk of $\geq 10\%$.
295 These proportions translate to nearly 170 million Chinese men and women between 40 and 84
296 years of age who are at high risk of fatal CVD. China was followed by Mexico (16% for men
297 and 11% for women).

298
299 When we separately analysed the risk distributions for people < 65 years and those ≥ 65 years of
300 age (which allowed the inclusion of three additional countries with data only available for
301 individual < 65 years of age) the prevalence of high fatal CVD risk was consistently highest in
302 low- and middle-income countries and in the Czech Republic (Figure 5). More than 90% of the
303 65-84 year old Chinese men and women had a 10-year fatal CVD risk $\geq 10\%$; over 80% of men
304 and 70% of Chinese women had a $\geq 15\%$ risk. In contrast, the proportion of older Japanese with
305 10-year fatal CVD risk $\geq 10\%$ was 28% for men and 17% for women, and in Spain, the
306 proportions were 29% for men and 17% for women.

307

308 **Discussion**

309 We analysed pooled data from prospective cohorts to develop a CVD risk prediction equation
310 which can be recalibrated and updated for use in different countries and years using routinely
311 available information. Our risk score, and the risk charts that can be generated from it, have
312 clinical as well as public health applications, for identifying individual patients who are at high
313 risk of CVD and thus need treatment, and for estimating the number of such people in the
314 country which is needed to measure progress towards the global NCD treatment goal. In addition
315 to the risk score and risk charts, our results suggested a substantially higher prevalence of people
316 at high CVD risk (e.g., $\geq 10\%$ 10-year risk of fatal CVD) in low- and middle-income countries

317 compared with high-income countries. Our findings are supported by the recent report from the
318 Prospective Urban and Rural Epidemiological study showing that at any level of risk factor
319 exposure the rates of major CVD events were higher in low- and middle-income countries than
320 in high income countries.³⁸

321

322 Methodologically, our risk score is consistent with the derivations and recalibrations of the
323 Framingham risk score, SCORE and the American College of Cardiology/American Heart
324 Association Pooled Cohort Risk Equations,^{11-18, 39} including the use of fatal CVD in SCORE to
325 allow recalibration in different countries (panel). Nonetheless, we also developed a risk score for
326 fatal-plus-non-fatal CVD, for use in countries with data on CVD incidence. Our reformulation of
327 the risk model to include age- and sex-specific death rates, while epidemiologically well-
328 established⁴⁰ allows taking into account the fact that the age and sex patterns of CVD vary
329 across countries and over time. Our risk score also overcomes the methodological limitation of
330 the current WHO risk charts,³ whose coefficients are based on separate analyses of individual
331 risk factors versus using coefficients that are estimated in a regression model that includes all the
332 risk factors together.⁴¹ Other strengths of our study are the use of multiple high-quality
333 prospective cohorts; good performance in external validation; and application to individual-level
334 nationally representative data from countries in different world regions to estimate the
335 prevalence of high-risk individuals, as opposed to summary statistics used in a previous global
336 analysis.³ The use of individual-level data accounts for the fact that the correlations among risk
337 factors vary across countries and time.⁴²

338

339 **Panel**

340 **Research in context**

341 **Systematic review**

342 We assessed risk scores for cardiovascular diseases reported in a recent overview article⁴³ and a
343 systematic review of 102 models from 84 studies published between January 1, 1999 and
344 February 24, 2009.⁴⁴ We then searched Pubmed for articles published between February 25,
345 2009 and September 10 2014 using combinations of the terms “risk score” or “risk scores” or
346 “risk prediction” and “cardiovascular disease”, “coronary heart disease” or “stroke” in the
347 publication title. We identified studies published in English that developed a risk score for a
348 healthy adult population free from cardiovascular disease at baseline; the study outcome was
349 fatal or non-fatal coronary heart disease or stroke; and a risk score model was developed and
350 validated in the population. We also searched the reference lists of the identified studies.

351 We reviewed the identified articles and assessed the generalizability of the risk scores across
352 populations. A large number of the reviewed articles aimed at improving prediction by adding
353 new risk factor(s) to the model; recalibrating a risk score for validation in a new population; or
354 developing a new risk score for a specific population. A few risk scores were devised for use
355 across different populations. The SCORE model predicts risk of death from cardiovascular
356 diseases and provides separate risk scores for higher risk and lower risk countries of Europe.⁹
357 The American College of Cardiology/American Heart Association Pooled Cohort Risk Equations
358 provide race-and sex-specific risk scores for the US.³⁹ The World Health Organization has
359 developed risk charts for each specific WHO subregion, although cardiovascular risk patterns
360 may differ between countries within the same subregion and the coefficients for the risk factors
361 in the model were not derived from the same regression model or even the same set of

362 epidemiological studies.³ Finally, the INTERHEART Modifiable Risk score was developed from
363 a multi-country case-control study of myocardial infarction, in contrast to other models that are
364 based on prospective cohorts.⁴⁵

365 **Interpretation**

366 We have developed a novel risk score for cardiovascular disease which can be recalibrated and
367 updated for use in different countries and years using routinely available information. The risk
368 equation was specified such that the effects of sex and age on cardiovascular risk are allowed to
369 vary across countries and performed well in external validation. When the risk score was
370 recalibrated and applied to a number of example countries, low- and middle income countries
371 had a higher prevalence of people with high 10 year risk of fatal cardiovascular disease
372 compared to high income countries. Our prediction equation can be used as a unified risk score
373 across countries.

374

375 Our study also has some limitations. First, although using multiple cohorts is an improvement
376 compared to a single cohort in some other risk prediction equations, our cohorts were all from
377 the USA. Nonetheless these cohorts included diverse ethnic groups. Further, there is abundant
378 evidence from multi-country studies that the proportional effects of cardiovascular risk factors
379 are similar across Western and Asian populations.²⁰⁻²² It would be ideal to replicate our analysis
380 with a larger number of cohorts, including cohorts from different world regions, to re-confirm
381 this similarity. Second, although we developed risk scores for both fatal CVD and for fatal-plus-
382 non-fatal CVD, our country applications necessarily had to estimate the risk of fatal CVD
383 because for most countries CVD incidence rates are not available. This shortage of data on non-
384 fatal CVD demonstrates the need for monitoring CVD incidence, for example through data
385 linkage or through sentinel sites, as has been done for cancer registries. Third, in addition to
386 people with hazardous risk factor profiles, CVD risk is also high in people who have had a
387 previous cardiovascular event, e.g., a prior coronary event or stroke. There is a need to have
388 information on individual patients with such disease histories and on their prevalence in the
389 population. For example, 9% of American men and 7% of American women in the 2011-2012
390 NHANES survey had a history of CHD or stroke. Fourth, although WHO uses demographic and
391 epidemiological methods to make valid and comparable estimates of death rates by underlying
392 cause³¹, there is potential for inconsistent or incomparable assignment of underlying cause of
393 death at the time of certification. Further, in countries without vital registration, CVD death rates
394 are necessarily estimated using partial information and demographic and epidemiological
395 methods. Finally, lifetime risk can be an alternative relevant measure of risk for young
396 individuals who may be assigned a low 10-year risk of CVD despite having elevated risk
397 factors.⁴⁶

398

399 Randomized trials have established the benefits of risk factor treatment in people with high
400 absolute disease risk, leading to multidrug therapy as a corner stone of CVD prevention, and
401 included in clinical guidelines in many countries. With many antihypertensive drugs and some
402 statins becoming off-patent and available at relatively low cost, these treatments are considered
403 essential medicines for NCD prevention worldwide.⁴⁷ Yet, access to these treatments remains
404 low in low- and middle-income countries.⁴⁸ The obstacles to large scale risk-based prevention
405 are both technical, i.e., a lack of guidelines for risk estimation and treatment, as well as those
406 related to health systems including financial, infrastructure and health personnel barriers to
407 primary care access. Our risk prediction equation helps overcome the technical barrier for global
408 application of risk stratification and will engender a debate about health systems needs by
409 allowing the calculation of the coverage of risk-based treatment in different countries.

410

411 **Acknowledgements**

412 Data for prospective cohorts were obtained from the National Heart Lung and Blood Institute
413 (NHLBI) Biologic Specimen and Data Repository Information Coordinating Center. This study
414 does not necessarily reflect the opinions or views of the cohorts used in the analysis, or the
415 NHLBI. This research also uses data from China Health and Nutrition Survey (CHNS). We
416 thank the National Institute of Nutrition and Food Safety, China Center for Disease Control and
417 Prevention, Carolina Population Center (5 R24 HD050924), the University of North Carolina at
418 Chapel Hill, the NIH (R01-HD30880, DK056350, R24 HD050924, and R01-HD38700) and the
419 Fogarty International Center, NIH for financial support for the CHNS data collection and
420 analysis files from 1989 to 2011 and future surveys, and the China-Japan Friendship Hospital,

421 Ministry of Health for support for CHNS 2009. Access to individual records of the National
 422 Health and Nutrition Survey was obtained under the Grant-in-Aid for Scientific Research from
 423 the Japan Society for the Promotion of Science (grant number: 24590785). We thank Pablo Perel
 424 and Rod Jackson for comments on an earlier version of the manuscript.

425

426 **Contributions**

427 GD, ME, and MW conceived the study. AA, CAAS, FA, RC, MD, LE, FF, NI, DK, YHK, VL,
 428 LLM, DM, KPM, KO, FRA, RRM, JES, GS, JT, BZ collected and managed risk factor survey or
 429 external cohort data. KH, PU, and YL analysed cohort and survey data and prepared results. KH,
 430 PU, ME, and GD wrote the manuscript with input from all other co-authors. GD and ME
 431 oversaw the research. GD is the study guarantor.

432

433 **Conflict of interest**

434 Authors declare no conflicts of interest.

435 **Table 1:** Coefficients and hazard ratios (HR) from the Cox proportional hazard model used to
 436 parameterize the risk scores for fatal CVD and fatal plus non-fatal CVD.

	Coefficient (logHR)		HR (95% CI) †
	Main effect (SE)	Age interaction term (SE)*	
Fatal CVD			
Systolic blood pressure (per 10 mmHg)	0.3412 (<0.001)	-0.0024 (0.002)	1.20 (1.18-1.22)
Total cholesterol (per 1 mmol/L)	0.5776 (<0.001)	-0.0063 (<0.001)	1.18 (1.13-1.22)
Diabetes	1.4557 (<0.001)	-0.0101 (0.048)	2.21 (1.93-2.52)
Diabetes*female sex	0.4430(<0.001)	-	1.56 (1.22-1.99)
Smoking	1.7657 (<0.001)	-0.0174 (0.001)	1.85 (1.66-2.06)
Smoking*female sex	0.2649 (0.034)	-	1.30 (1.02-1.67)

Fatal plus non-fatal CVD			
Systolic blood pressure (per 10 mmHg)	0.1077 (0.004)	0.0002 (0.668)	1.13 (1.12-1.14)
Total cholesterol (per 1 mmol/L)	0.5533 (<0.001)	-0.0059 (<0.001)	1.19 (1.17-1.22)
Diabetes	1.0721 (<0.001)	-0.0078 (0.029)	1.77 (1.61-1.94)
Diabetes*female sex	0.3902 (<0.001)	-	1.48 (1.27-1.72)
Smoking	2.0872 (<0.001)	-0.0250 (<0.001)	1.63 (1.52-1.74)
Smoking*female sex	0.3384 (<0.001)	-	1.40 (1.23-1.60)

437

438 * We included an interaction term between age and all risk factors because the HRs for effects
439 on CVD decline with age.^{20, 26, 27} Therefore the HR at any age depends on the main and
440 interaction terms.

441 † The HRs for systolic blood pressure, total cholesterol, diabetes, and smoking are shown here at
442 the median age of event, which is 66 years for fatal CVD and 64 years for fatal plus non-fatal
443 CVD in the selected cohorts. HRs for diabetes and smoking are for men, and its interaction with
444 sex shows the additional risk among women.

Figure 1: Flowchart of inclusion and exclusion of cohort participants*

* HHP, MRFIT, and PRHHP include only men, and WHICT only women. † Only a subset of WHICT participants had cholesterol and fasting glucose measurements by design. ‡ Implausible data were considered as total cholesterol <1.75 or >20 mmol/L, systolic blood pressure <70 or >270 mmHg, and body mass index >80 kg/m².

Figure 2: Procedure for recalibration and application of the (fatal) CVD risk score

The figure shows the steps for applying the risk prediction equation for fatal CVD to individuals in different countries through recalibration. The figure uses the example of a 60-year-old man in the USA in 2011 with risk factor levels as shown in the figure. For recalibration of the fatal plus non-fatal CVD risk score, coefficients from the corresponding model in Table 1 and rates for total CVD events should be used.

Figure 3: Observed and predicted 10-year risk of fatal CVD event in risk score validation, by cohort and deciles of risk

Figure 4: Country risk charts for 10-year risk of fatal CVD

* To establish a person's risk, first the column representing the person's sex, smoking, and diabetes status should be found. Then the cell representing the person's age, total cholesterol and systolic blood pressure levels should be located.

Total (fatal or non-fatal) 10-year CVD risk would be higher than those seen in the figure by the ratio of total-to-fatal CVD event rates. For example, total risk for a person aged 60 years old and living in a high-income country, where about a third of all CVD events are fatal, would be three times higher. Total-to-fatal CVD ratio tends to go down with age, i.e. more fatal CVD events in older ages.^{32,35-37} Total-to-fatal CVD ratio is likely lower in low- and middle-income countries where more CVD events are fatal due to lower healthcare and treatment access, and may be closer to 2.

Risk charts are not shown for Czech Republic, Iran, and Malawi because their health examination surveys did not include older participants.

Figure 5: Distributions of 10-year risks of fatal CVD by country, sex, and age group.

Results for Czech Republic, Iran, and Malawi are shown only for 40-64 years of age because older individuals were not enrolled in the national health examination surveys.

References

1. Jackson R, Lawes CM, Bennett DA, Milne RJ, Rodgers A. Treatment with drugs to lower blood pressure and blood cholesterol based on an individual's absolute cardiovascular risk. *Lancet*. 2005; 365(9457): 434-41.
2. Joint British Societies' consensus recommendations for the prevention of cardiovascular disease (JBS3). *Heart*. 2014; 100 Suppl 2: ii1-ii67.
3. World Health Organization. Prevention of cardiovascular disease: guidelines for assessment and management of cardiovascular risk. Geneva; 2007.
4. Stone NJ, Robinson J, Lichtenstein AH, Bairey Merz CN, Lloyd-Jones DM, Blum CB, et al. 2013 ACC/AHA Guideline on the Treatment of Blood Cholesterol to Reduce Atherosclerotic Cardiovascular Risk in Adults: A Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. *J Am Coll Cardiol*. 2013.
5. National Institute for Health and Care Excellence. Lipid modification: cardiovascular risk assessment and the modification of blood lipids for the primary and secondary prevention of cardiovascular disease. London; 2014.
6. Lim SS, Gaziano TA, Gakidou E, Reddy KS, Farzadfar F, Lozano R, et al. Prevention of cardiovascular disease in high-risk individuals in low-income and middle-income countries: health effects and costs. *Lancet*. 2007; 370(9604): 2054-62.
7. World Health Organization. Global action plan for the prevention and control of noncommunicable diseases 2013-2020. Geneva; 2013.
8. D'Agostino RB, Sr., Grundy S, Sullivan LM, Wilson P. Validation of the Framingham coronary heart disease prediction scores: results of a multiple ethnic groups investigation. *JAMA*. 2001; 286(2): 180-7.

9. Conroy RM, Pyorala K, Fitzgerald AP, Sans S, Menotti A, De Backer G, et al. Estimation of ten-year risk of fatal cardiovascular disease in Europe: the SCORE project. *Eur Heart J*. 2003; 24(11): 987-1003.
10. Cook NR, Paynter NP, Eaton CB, Manson JE, Martin LW, Robinson JG, et al. Comparison of the Framingham and Reynolds Risk scores for global cardiovascular risk prediction in the multiethnic Women's Health Initiative. *Circulation*. 2012; 125(14): 1748-56, S1-11.
11. Neuhauser HK, Ellert U, Kurth BM. A comparison of Framingham and SCORE-based cardiovascular risk estimates in participants of the German National Health Interview and Examination Survey 1998. *Eur J Cardiovasc Prev Rehabil*. 2005; 12(5): 442-50.
12. Liu J, Hong Y, D'Agostino RB, Sr., Wu Z, Wang W, Sun J, et al. Predictive value for the Chinese population of the Framingham CHD risk assessment tool compared with the Chinese Multi-Provincial Cohort Study. *JAMA*. 2004; 291(21): 2591-9.
13. Khalili D, Hadaegh F, Soori H, Steyerberg EW, Bozorgmanesh M, Azizi F. Clinical usefulness of the Framingham cardiovascular risk profile beyond its statistical performance: the Tehran Lipid and Glucose Study. *Am J Epidemiol*. 2012; 176(3): 177-86.
14. Wu Y, Liu X, Li X, Li Y, Zhao L, Chen Z, et al. Estimation of 10-year risk of fatal and nonfatal ischemic cardiovascular diseases in Chinese adults. *Circulation*. 2006; 114(21): 2217-25.
15. Suka M, Sugimori H, Yoshida K. Application of the updated Framingham risk score to Japanese men. *Hypertens Res*. 2001; 24(6): 685-9.

16. Bhopal R, Fischbacher C, Vartiainen E, Unwin N, White M, Alberti G. Predicted and observed cardiovascular disease in South Asians: application of FINRISK, Framingham and SCORE models to Newcastle Heart Project data. *J Public Health (Oxf)*. 2005; 27(1): 93-100.
17. Sans S, Fitzgerald AP, Royo D, Conroy R, Graham I. Calibrating the SCORE Cardiovascular Risk Chart for Use in Spain. *Rev Esp Cardiol (Engl Ed)*. 2007; 60(5): 476-85.
18. Simmonds MC, Wald NJ. Risk estimation versus screening performance: a comparison of six risk algorithms for cardiovascular disease. *Journal of medical screening*. 2012; 19(4): 201-5.
19. Mendis S, Lindholm LH, Mancia G, Whitworth J, Alderman M, Lim S, et al. World Health Organization (WHO) and International Society of Hypertension (ISH) risk prediction charts: assessment of cardiovascular risk for prevention and control of cardiovascular disease in low and middle-income countries. *J Hypertens*. 2007; 25(8): 1578-82.
20. Singh GM, Danaei G, Farzadfar F, Stevens GA, Woodward M, Wormser D, et al. The age-specific quantitative effects of metabolic risk factors on cardiovascular diseases and diabetes: a pooled analysis. *PLoS One*. 2013; 8(7): e65174.
21. Woodward M, Huxley H, Lam TH, Barzi F, Lawes CM, Ueshima H. A comparison of the associations between risk factors and cardiovascular disease in Asia and Australasia. *Eur J Cardiovasc Prev Rehabil*. 2005; 12(5): 484-91.
22. Yusuf S, Hawken S, Ounpuu S, Dans T, Avezum A, Lanas F, et al. Effect of potentially modifiable risk factors associated with myocardial infarction in 52 countries (the INTERHEART study): case-control study. *Lancet*. 2004; 364(9438): 937-52.

23. Moran AE, Forouzanfar MH, Roth GA, Mensah GA, Ezzati M, Flaxman A, et al. The global burden of ischemic heart disease in 1990 and 2010: the global burden of disease 2010 study. *Circulation*. 2014; 129(14): 1493-501.
24. Yano K, Kagan A, McGee D, Rhoads GG. Glucose intolerance and nine-year mortality in Japanese men in Hawaii. *Am J Med*. 1982; 72(1): 71-80.
25. Farzadfar F, Finucane MM, Danaei G, Pelizzari PM, Cowan MJ, Paciorek CJ, et al. National, regional, and global trends in serum total cholesterol since 1980: systematic analysis of health examination surveys and epidemiological studies with 321 country-years and 3.0 million participants. *Lancet*. 2011; 377(9765): 578-86.
26. Lewington S, Whitlock G, Clarke R, Sherliker P, Emberson J, Halsey J, et al. Blood cholesterol and vascular mortality by age, sex, and blood pressure: a meta-analysis of individual data from 61 prospective studies with 55,000 vascular deaths. *Lancet*. 2007; 370(9602): 1829-39.
27. Lawes CM, Bennett DA, Parag V, Woodward M, Whitlock G, Lam TH, et al. Blood pressure indices and cardiovascular disease in the Asia Pacific region: a pooled analysis. *Hypertension*. 2003; 42(1): 69-75.
28. Peters SA, Huxley RR, Woodward M. Diabetes as a risk factor for stroke in women compared with men: a systematic review and meta-analysis of 64 cohorts, including 775,385 individuals and 12,539 strokes. *Lancet*. 2014; 383(9933): 1973-80.
29. Huxley RR, Woodward M. Cigarette smoking as a risk factor for coronary heart disease in women compared with men: a systematic review and meta-analysis of prospective cohort studies. *Lancet*. 2011; 378(9799): 1297-305.

30. Harrell FE, Jr., Lee KL, Mark DB. Multivariable prognostic models: issues in developing models, evaluating assumptions and adequacy, and measuring and reducing errors. *Statistics in medicine*. 1996; 15(4): 361-87.
31. World Health Organization. WHO methods and data sources for global causes of death 2000-2011. Geneva; 2013.
32. Smolina K, Wright FL, Rayner M, Goldacre MJ. Incidence and 30-day case fatality for acute myocardial infarction in England in 2010: national-linked database study. *Eur J Public Health*. 2012; 22(6): 848-53.
33. Degano IR, Elosua R, Marrugat J. Epidemiology of acute coronary syndromes in Spain: estimation of the number of cases and trends from 2005 to 2049. *Rev Esp Cardiol*. 2013; 66(6): 472-81.
34. Feigin VL, Lawes CM, Bennett DA, Barker-Collo SL, Parag V. Worldwide stroke incidence and early case fatality reported in 56 population-based studies: a systematic review. *Lancet neurology*. 2009; 8(4): 355-69.
35. Mathers C, Truelsen T, Begg S, Satoh T. Global burden of ischaemic heart disease in the year 2000. *Global Burden of Disease 2000*. 2004.
36. Hammar N, Alfredsson L, Rosen M, Spetz CL, Kahan T, Ysberg AS. A national record linkage to study acute myocardial infarction incidence and case fatality in Sweden. *International journal of epidemiology*. 2001; 30 Suppl 1: S30-4.
37. Krumholz HM, Normand SL, Wang Y. Trends in hospitalizations and outcomes for acute cardiovascular disease and stroke, 1999-2011. *Circulation*. 2014; 130(12): 966-75.
38. Yusuf S, Rangarajan S, Teo K, Islam S, Li W, Liu L, et al. Cardiovascular risk and events in 17 low-, middle-, and high-income countries. *N Engl J Med*. 2014; 371(9): 818-27.

39. Goff DC, Jr., Lloyd-Jones DM, Bennett G, Coady S, D'Agostino RB, Gibbons R, et al. 2013 ACC/AHA guideline on the assessment of cardiovascular risk: a report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines. *Circulation*. 2014; 129(25 Suppl 2): S49-73.
40. Fibrinogen Studies Collaboration. Measures to assess the prognostic ability of the stratified Cox proportional hazards model. *Stat Med*. 2009; 28(3): 389-411.
41. Ezzati M, Lopez, A.D., Rodgers, A., Murray, C.J.L. Comparative Quantification of Health Risks: Global and Regional Burden of Diseases Attributable to Selected Major Risk Factors. . Geneva: World Health Organization; 2004.
42. Gregg EW, Cheng YJ, Cadwell BL, Imperatore G, Williams DE, Flegal KM, et al. Secular trends in cardiovascular disease risk factors according to body mass index in US adults. *JAMA*. 2005; 293(15): 1868-74.
43. Cooney MT, Dudina A, D'Agostino R, Graham IM. Cardiovascular risk-estimation systems in primary prevention: do they differ? Do they make a difference? Can we see the future? *Circulation*. 2010; 122(3): 300-10.
44. Matheny M, McPheeters ML, Glasser A, Mercaldo N, Weaver RB, Jerome RN, et al. Systematic Review of Cardiovascular Disease Risk Assessment Tools. Rockville (MD); 2011.
45. McGorrian C, Yusuf S, Islam S, Jung H, Rangarajan S, Avezum A, et al. Estimating modifiable coronary heart disease risk in multiple regions of the world: the INTERHEART Modifiable Risk Score. *Eur Heart J*. 2011; 32(5): 581-9.
46. Berry JD, Dyer A, Cai X, Garside DB, Ning H, Thomas A, et al. Lifetime risks of cardiovascular disease. *N Engl J Med*. 2012; 366(4): 321-9.

47. Hogerzeil HV, Liberman J, Wirtz VJ, Kishore SP, Selvaraj S, Kiddell-Monroe R, et al. Promotion of access to essential medicines for non-communicable diseases: practical implications of the UN political declaration. *Lancet*. 2013; 381(9867): 680-9.
48. Yusuf S, Islam S, Chow CK, Rangarajan S, Dagenais G, Diaz R, et al. Use of secondary prevention drugs for cardiovascular disease in the community in high-income, middle-income, and low-income countries (the PURE Study): a prospective epidemiological survey. *Lancet*. 2011; 378(9798): 1231-43.